

REMARKS

I. Status

The Office Action indicates claims 1, 3, and 5-8 to be pending in this Application.

With this response, claims 1, 3, and 5-8 are amended. No new matter has been added.

Claims 1, 3, and 5-8 are objected to.

Claims 1 and 3 are rejected under 35 U.S.C. 103(a) as being unpatentable over Takayasu (U.S. Patent Application Publication No. 2001/0025265) in view of Calo (U.S. Patent Application Publication No. 2002/0087454).

Claims 5-8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Takayasu in view of Calo and Tsagarakis (U.S. Patent Application Publication No. 2002/0087455).

Claims 1 and 3 are independent.

II. Objection to Claims 1, 3, and 5-8

The Office Action objects to claims 1, 3, and 5-8, the Office Action stating that:

“[c]laims 1, 3, and 5-8 are objected to because of the following informalities: Claim 1 has a semi-colon (;) after ‘code’ and before the ‘wherein’ clause. There should be a comma (,) after ‘code’ and before the ‘wherein’ clause. Claim 3 has a similar problem. Claims 5-8 need a comma (,) after the ‘claim _____’ and before the ‘wherein’ clause. Appropriate correction is required”
(see Office Action p. 2 - p. 3).

With this response, the Applicant amends claims 1, 3, and 5-8 in view of the Office Action’s suggestions. No new matter has been added.

In view of at least the foregoing, the Applicant respectfully requests that the

objection be withdrawn.

III. Rejection of Independent Claims 1 and 3 under 35 U.S.C. 103

The Office Action rejects independent claims 1 and 3 under 35 U.S.C. 103(a) as being unpatentable over Takayasu in view of Calo. With this response, the Applicant amends claims 1 and 3. No new matter has been added.

The Applicant respectfully submits that the cited references, taken individually or in combination, fail, for example, to disclose, teach, or suggest:

“... establishing the foreign currency as a stock on a stock exchange, wherein the stock is priced using a currency different than the foreign currency ...”

as set forth in claim 1 as amended herewith (emphasis added).

As another example, the cited references, taken individually or in combination, fail to disclose, teach, or suggest:

“... listing the foreign currency as a stock on a stock exchange, wherein the stock is priced using a currency different than the foreign currency ...”

as set forth in claim 3 as amended herewith (emphasis added).

The Office Action, apparently considering Calo’s discussion that:

“[s]ome time prior to step 301, a customer in Australia receives from E*TRADE Global a preview price on a real-time basis for a U.S. equity (such as an individual stock or mutual fund share). A customer normally is expected to place orders based on the currency of the target market in which the security trades. A price in the native currency may also be provided, with this price being based on both the real-time quote for price on the U.S. equity, as well as a real-time conversion from the foreign exchange rates. In any case, the estimated prices are computed automatically through, by, or with information obtained through E*TRADE Global”
(see Calo paragraph [0030])

to be discussion of presenting for a U.S. equity a price in Australian dollars, contends that Calo discloses an exchange share representing a first currency valued in terms of a second currency.

However, with reference to the above-quoted of claims 1 and 3 the Applicant believes it clear, for instance, that mere discussion of presenting for a U.S. equity a price in Australian dollars would not at all be at least disclosure, teaching, or suggestion of a foreign currency that is itself established as a stock, or of a foreign currency that is itself listed as a stock.

In view of at least the foregoing, the Applicant respectfully submits that claims 1 and 3 at least as amended herewith, as well as those claims that depend therefrom, are in condition for allowance.

IV. Dependent Claims

The Applicant does not believe it is necessary at this time to further address the rejections of the dependent claims as the Applicant believes that the foregoing places the independent claims in condition for allowance. The Applicant, however, reserves the right to further address those rejections in the future should such a response be deemed necessary and appropriate.

(Continued on next page)

CONCLUSION

The Applicant respectfully submits that this Application is in condition for allowance for which action is earnestly solicited.

If a telephone conference would facilitate prosecution of this Application in any way, the Examiner is invited to contact the undersigned at the number provided.

AUTHORIZATION

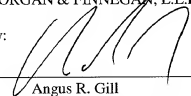
The Commissioner is hereby authorized to charge any fees which may be required for this response, or credit any overpayment to Deposit Account No. 13-4500, Order No. 3892-4003.

Furthermore, in the event that an extension of time is required, the Commissioner is requested to grant a petition for that extension of time which is required to make this response timely and is hereby authorized to charge any fee for such an extension of time or credit any overpayment for an extension of time to the above-noted Deposit Account and Order No.

Respectfully submitted,

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